

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

X 37 C.F.R. §1.97(b).

_____ 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

_____ A certification pursuant to 37 C.F.R. §1.97(e) or

_____ A check for \$_____ for the fee under 37 C.F.R. § 1.17(p).

_____ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

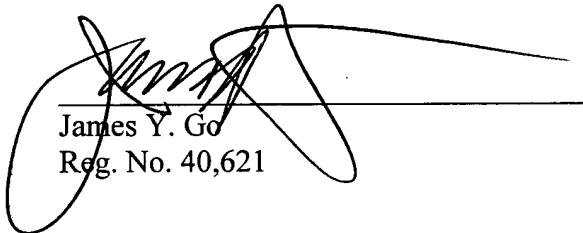
- (1) A certification pursuant to 37 C.F.R. §1.97(e);
- (2) A petition requesting consideration of the Information Disclosure Statement; and
- (3) A check for \$_____ for the fee under 37 C.F.R. §1.17(i) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 10-29-03


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Substitute for Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known		
				Application Number	New Application	
				Filing Date	Concurrently herewith	
				First Named Inventor:	Eric C. Hannah	
				Art Unit	Not Yet Assigned	
				Examiner Name	Not Yet Assigned	
Sheet	1	of		2	Attorney Docket Number	42P13837D

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)				
	1.	US-	6,331,690 B1	12/18/2001	Yudasaka et al.	
	2.	US-	6,333,016 B1	12/25/2001	Resasco et al.	
	3.	US-	6,350,488 B1	02/26/2002	Lee et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. B x 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Substitute Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	New Application
				Filing Date	Concurrently herewith
				First Named Inventor:	Eric C. Hannah
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	42P13837D
Sheet	2	of	2		
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
	4.	TANG, X.-P. ET AL.; Electronic Structures of Single-Walled Carbon Nanotubes Determined by NMR; Science, April 2000 Pgs. 492-494 Vol. 288.			
	5.	ANDREWS, R. ET AL.; Continuous Production of Aligned Carbon Nanotubes: A Step Closer to Commercial Realization; Chemical Physics Letters, 303 (1999) 467-474.			
	6.	KUHR, STEFAN ET AL.; Deterministic Delivery of a Single Atom; Science, July 2001, Pgs. 278-280, Vol.293.			
	7.	WILDÖER, JEROEN W. G. ET AL.; Electronic Structure of Atomically Resolved Carbon Nanotubes; Nature, January 1998, Pgs. 59-62, Vol. 391.			
	8.	JOURNET, C. ET AL.; Large-Scale Production of Single-Walled Carbon Nanotubes by the Electric-Arc Technique; Nature, August 1997, Pgs.756-758, Vol. 388.			
	9.	VENEMA, LIESBETH C. ET AL.; Imaging Electron Wave Functions of Quantized Energy Levels in Carbon Nanotubes; Science, January 1999, Pgs. 52-55, Vol. 283.			
	10.	HAN, H.X. ET AL.; Photoluminescence Study of Carbon Nanotubes; Los Alamos Physics Preprints: cond-mat/0004035, April 2000, 6 Pgs.			
	11.	ROCHEFORT, ALAIN ET AL.; The Effects of Finite Length on the Electronic Structure of Carbon Nanotubes; Los Alamos Physics Preprints: cond-mat/9808271, August 1998, 18 pgs.			

Examiner Signature	Date Considered	
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English translation is attached.

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